12-21-06

PTO/SB/21 (09-06) Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL			Application Number	10/5/7,/8	33		
			Filing Date	April 28, 2	2006		
FORM		First Named Inventor	Makoto M	furamatsu et al.			
(to be used for all correspondence after initial filing)		filing)	Art Unit	3682			
,			Examiner Name				
Total Number of Pages	s in This Submission		Attorney Docket Number	6340-000	071/NP		
ENCLOSURES (check all that apply)							
Fee Transmittal Form		☐ Drawing(s)			After Allowance Communication to Technology Center (TC)		
Fee Attached		Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences		
Amendment / Rep	oly	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application		☐ Proprie	Proprietary Information		
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		☐ Status	Status Letter		
Extension of Time Request		Terminal Disclaimer			Other Enclosure(s) (please identify below):		
Express Abandonment Request		Request for Refund CD, Number of CD(s)		Request for Corrected Official Filing Receipt (with copy of Application Data Sheet attached)			
☐ Information Disclosure Statement			·				
Certified Copy of Priority Document(s)		Remarks The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.					
Response to Missing Parts/ Incomplete Application				,			
Response to Missing Parts under 37 CFR 1.52 or 1.53		•					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Harness, Dickey & Pi		Attorney Name		R	eg. No. 1,306		
Signature W.Z.W		W					
Date December 20, 2006							
CERTIFICATE OF TRANSMISSION/MAILING							
Service with sufficien	nis correspondence is nt postage as first cla 1-1450 on the date sho	ass mail in	mile transmitted to the USPTC an envelope addressed to: C	or deposited commissioner	with the United States Postal for Patents, P.O. Box 1450,		
Typed or printed name W.R. Duke Taylo		gor /	1 X	Express Mail Label No.	EV 757 778 587 US (12/20/2006)		
Signature W-				Date	December 20, 2006		

This collection of information is required by 37 CFR 1.5. The information of required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.17. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

oplication No.:

10/577,783

Filing Date:

April 28, 2006

Applicant:

Makoto Muramatsu et al.

Group Art Unit:

3682

Examiner:

Title:

SEALED ROLLING BEARING

Attorney Docket:

6340-000071/NP

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

We acknowledge receipt of the Official Filing Receipt for the above-identified application. However, the information listed under "Foreign Applications" is incorrect. Foreign Priority Information should correctly read as follows:

Foreign Priority Information

Country::	Application number::	Filing Date::	Priority Claimed::
Japan	2003-367307	10/28/03	Yes
Japan	2004-004245	01/09/04	Yes

A copy of the Application Data Sheet with the "Foreign Priority Information" is attached. Accordingly, would you please correct your records and issue a corrected filing receipt.

W. K. W.

Respectfully submitted

W.R. Duke Taylor Reg. No. 31,306

Attorney for Applicants

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, MI 48303 (248) 641-1600

Date: December 20, 2006

Serial No. 10/577,783



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. MISSIONER FOR PATENTS Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS (c) DATE 10/577,783 04/28/2006 3682 900 6340-000071/US/NP 6 5

CONFIRMATION NO. 6104

27572 HARNESS, DICKEY & PIERCE, P.L.C. P.O. BOX 828 BLOOMFIELD HILLS,-MI 48303

FILING RECEIPT

OC000000021011488*

Date Mailed: 10/30/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If vou received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Makoto Muramatsu, Shizuoka-ken, JAPAN; Daisuke Kunimatsu, Shizuoka-ken, JAPAN;

Assignment For Published Patent Application

NTN Corporation, Osaka, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 27572

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/15711 10/22/2004

Foreign Applications

JAPAN 2003-367307 04/09/2004 JAPAN 2004-004245 40/26/2004

If Required, Foreign Filing License Granted: 10/27/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,-is US10/577,783

Projected Publication Date: 02/08/2007

Non-Publication Request: No

Early Publication Request: No

Title

Sealed rolling bearing

Preliminary Class

384

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted

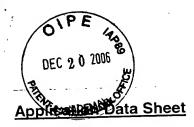
under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Application Information

Application Type:: Regular

Subject Matter:: Utility

Suggested Group Art Unit:: N/A

CD-ROM or CD-R?:: None

Sequence submission?:: None

Computer Readable Form (CRF)?:: No

Title:: SEALED ROLLING BEARING

Attorney Docket Number:: 6340-000071/US/NP

Request for Early Publication?:: No

Request for Non-Publication?:: No

Small Entity?:: No

Petition included?:: No

Secrecy Order in Parent Appl.?:: No

Applicant Information

١

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Japan

Status:: Full Capacity

Given Name:: Makoto

Family Name:: MURAMATSU

City of Residence:: Iwata-shi, Shizuoka-ken

Country of Residence:: Japan

Street of mailing address:: c/o 1578, Higashikaizuka

City of mailing address:: Iwata-shi, Shizuoka-ken

Country of mailing address:: Japan

Applicant Authority Type:: Inventor

Primary Citizenship Country:: Japan

Status:: Full Capacity

Given Name::

Daisuke

Family Name::

KUNIMATSU

City of Residence::

Iwata-shi, Shizuoka-ken

Country of Residence::

Japan

Street of mailing address::

c/o 1578, Higashikaizuka

City of mailing address::

Iwata-shi, Shizuoka-ken

Country of mailing address::

Japan

Correspondence Information

Correspondence Customer Number::

27572

Representative Information

W. R. Duke Taylor

31,306

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/JP2004/015711	10/22/04

Foreign Priority Information

Country::	Application number::	Filing Date::	Priority Claimed::
Japan	2003-367307	10/28/03	Yes
Japan	2004-004245	01/09/04	Yes

Assignee Information

Assignee name::

NTN Corporation

Street of mailing address::

3-17, 1-chome,

Kyomachibori, Nishi-ku

City of mailing address::

Osaka

Country of mailing address::

Japan